A primer for students regarding advanced topics in cardiothoracic surgery, Part 2: Primer 7 of 7

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In this final section of the primer series, we close by covering a few more advanced topics in cardiac surgery. These topics address the burgeoning endovascular treatment of aortic stenosis, transcatheter aortic valve replacement (TAVR), and mechanical circulatory systems (MCS). Specifically, we will cover Impella devices, intra-aortic balloon pumps (IABPs), and left and right ventricular assist devices (VADs). Description of the device, its indication of use, and complications will be covered in each section.

TRANSCATHETER AORTIC VALVE REPLACEMENT

Background and Indications

Initially pioneered in 1965, TAVR technology has advanced rapidly. Recently, TAVR has become the most commonly performed aortic valve replacement procedure, overtaking surgical aortic valve replacements in 2018. The Placement of Aortic Transcatheter Valves (PARTNER) 1, 2, and 3 trials established operative success for this procedure in nonsurgical/high-risk, intermediate-risk, and low-risk patient populations, respectively. The surgical risk is determined by the Society of Thoracic Surgeons Predicted Risk of Mortality (STS PROM), which is a multivariable risk model. An STS PROM <4% corresponds to low risk, 4% to 8% is intermediate risk, and >8% constitutes a high risk. As such, determining the STS PROM is a crucial part of the patient workup. The decision between TAVR and surgical aortic valve replacement is multifactorial, involving a joint heart team, patient annular/cusp anatomy, vascular access, and patient preference. The general indications and contraindications are provided in Table 1.

Preoperative evaluation is aimed at determining valvar geometry and calcification, as well as peripheral vasculature to guide access-site selection. This is accomplished by gated computed tomography angiogram of the aortic annulus through the iliofemoral system. Specialized software provides orthogonal measurements of the aortic valve, termed the double-oblique image orientation. The parameters measured are the annular perimeter and area, sinus–commissure distances, sinus–sinus distances, and coronary ostia height (Figure 1). Of note, routine preoperative cardiac

See Commentary on page XXX.
surgery patients (eg, coronary catheterization and transesophageal echo) are still warranted but not specific to TAVR.

Aortic annular and cusp calcifications are crucial to evaluate, as they are necessary for proper valve deployment. However, calcification, such as in an infra-annular position, may hinder valve deployment and seating. Coronary ostia height is a critical measurement—if they are less than 8 mm from the sinus of Valsalva, some valve sizes may cause occlusion of the ostia. In such cases, preemptive access of the coronary ostia is obtained with a subsequent stent deployment if occlusion occurs. Vascular access can be obtained via various methods, including femoral, axillary, carotid, transapical, transaortic, and transavcal. Access selection is based on patient factors (eg, several vessel calcification or tortuosity), TAVR device selection, and surgeon preference. Most access will be through the common femoral artery.

The TAVR devices can be broadly split into balloon-expandable and self-expandable types. Some current generation devices are the Sapien 3 (balloon-expandable; Edwards Lifesciences) and the Evolut PRO/R (self-expandable; Medtronic). However, as this technology matures and advances, more devices are and will be coming to market, increasing the cardiac surgeons’ armamentarium.8

**Procedure**

TAVR procedures are typically carried out in hybrid rooms with both interventional cardiology and cardiothoracic surgeons present. The reason for the use of a hybrid room is that fluoroscopy is first used for deployment of the valve. However, if complications arise necessitating an open conversion, the hybrid room is conducive to this change.

Vascular access is typically gained percutaneously via modified Seldinger technique. Access usually includes 2 arterial access points and 1 venous. One of the arterial sites is used for diagnostic purposes and a pigtail catheter to map annular anatomy. Subsequently, an aortogram is obtained to allow for optimal positioning of the C-arm such that the nadir of all 3 cusps is in the same plane. This facilitates the correct positioning and deployment of the transcatheter heart valve. The aortogram also assess for aortic regurgitation and coronary patency, which is important to compare pre- and post-valve deployment. Through the venous access site, a temporary transvenous pacer is deployed. This is used to pace the heart between 140 and 180 beats per minute during valve deployment; typically, lower rates are used for self-expanding valves and higher rates for balloon-expanding ones. This significantly reduces the cardiac output of the heart, which can cause malpositioning of the valve during deployment, potentially leading to a dislodged or embolized valve. The other arterial access site is used for creating an arterial roadmap and for deployment of the delivery system. The deployment system must cross the aortic valve to deploy the new valve; this is accomplished by first using a stiffer wire once access is gained across the aortic valve. Following deployment of the valve, positioning and functioning is assessed via echocardiography and a repeat aortogram.

**TABLE 1. Indications and contraindications for TAVR**

<table>
<thead>
<tr>
<th>Indications</th>
<th>Contraindications</th>
</tr>
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<tbody>
<tr>
<td>Severe AS with prohibitive surgical risk (STS PROM &gt;8%) and &gt;1-y expected survival</td>
<td>Incompatible aortic annulus size (&lt;18 mm or &gt;29 mm)</td>
</tr>
<tr>
<td>Equivocal for age 65-80 y</td>
<td>Active endocarditis</td>
</tr>
<tr>
<td>Preferred in age &gt;80 y</td>
<td>Short distance between annulus and coronary ostium</td>
</tr>
<tr>
<td></td>
<td>Age &lt;65 y</td>
</tr>
<tr>
<td></td>
<td>Life expectancy &lt;1 y</td>
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It is important to note that most access methods result in a retrograde deployment of the valve. The main exception is with transapical, where the apparatus moves from the left ventricle and then across the aortic valve, deploying the valve in an antegrade fashion.

Outcomes
The outcomes of TAVR can be grouped into the hemodynamic performance of the valves over time and the mortality in each patient risk stratum. TAVR valves, as they are constructed from tissue, suffer structural valve degeneration, a process similar to their surgical tissue valve counterparts. Contemporary rates of structural valve deterioration are around 1.6% per 100-patient years.9 It is imperative to note that this is at 5-year follow-up, up where typical structural valve degeneration occurs around the first decade after implantation.10 Along similar lines, TAVR showed a marked and consistent decreased aortic transvalvular gradients, with mean gradients around 11 mm Hg.11 Current estimates of structural valve deterioration occur due to gaining endovascular access.

Complications
Despite being a minimally invasive surgery, TAVR is not without its complications. TAVR complications can be grouped into conduction issues, paravalvular leak (PVL), and vascular complications. Conduction issues occur due to increased radial force on the aortic annulus compared to sutured valves. Similarly, PVL occurs due to calcium in the leaflets or problems with valve deployment and positioning, which are not excised. Lastly, vascular complications occur due to gaining endovascular access.

The left bundle branch block (LBBB) is primary source of conduction derangements following TAVR. Current estimates place the incidence of LBBB between 10% and 22.9%.17,18 A significant subset of these patients will require a permanent place maker implantation. Development of am LBBB has been implicated in increasing mortality,19 and new pacemaker requirement carries a preestablished mortality risk.20 Some factors predisposing a patient to develop a LBBB and subsequent permanent place maker are a preexisting right bundle branch block and oversizing the TAVR valve.

Paravalvular leak is a harder entity to assess compared with conduction abnormalities. This is due to the stratification of PVL as mild, moderate, or severe. Although a large proportion of patients who undergo TAVR develop mild PVL, approximately 40%, recent data do not suggest that it worsens short-term outcomes.21 This is in comparison with greater degrees of PVL, approximately a 3% incidence, which have been implicated in increased mortality.11,22

Vascular complications are a general term containing entities such as aortic or annulus rupture, need for blood transfusion, or an unplanned surgical intervention.23 In the contemporary generation, increased understating of preoperative planning and patient selection in conjunction with improved TAVR devices have reduced these complications, as a composite end point, to approximately 6%.24 Note that this list is not exhaustive, but for brevity, only the most common complications were included.

MCS SUPPORT OVERVIEW
MCS devices are engineered devices that aim to augment or entirely replicate cardiovascular functioning. These devices can be used as destination therapy (if not a transplant candidate), bridge to transplant, bridge to decision, or as a temporizing measure in the short term. Short-term MCS devices are used over a short time period (days) to allow for recovery of the myocardium after a myocardial insult or the effects of cardiopulmonary bypass. If no improvement in myocardial functioning occurs and the cardiac output is still insufficient, then more long-term MCS solutions are warranted. It is important to note that extracorporeal membrane oxygenation (covered in Part I of this series) is a hybrid solution that spans both MCS categories.

Short Term
IABPs and Impella (Abiomed), devices are the main short-term MCS devices used, particularly in patients with end-stage heart failure. Despite differing mechanisms of action and effects on cardiovascular physiology, IABPs and Impella devices are both designed with the intention of providing temporary hemodynamic support and maintaining adequate end-organ perfusion in the setting of acute cardiogenic shock. As such, these devices are often used as temporizing measures while treating the underlying disease etiology or as a bridge to more durable destination therapies, such as surgically implanted VADs.

Intra-aortic balloon pump. Device detail. The main components of an IABP include an intravascular catheter with a balloon mounted at the tip; a protective sheath; tubing; and an external control console (Figure 2, A).25 In addition, the device contains radiopaque markings that are used to verify...
device placement. When deploying the device, the balloon should be positioned in the proximal descending aorta such that the tip lies distal to the left subclavian artery and the proximal portion ends just above the renal artery branches (Figure 2, B). The external control console regulates the delivery of helium into the balloon. Timing of inflation and deflation varies throughout the cardiac cycle and is most commonly controlled by electrocardiogram synchronization. This mechanism initiates balloon inflation during the middle of the T-wave (early diastole) and deflation at the R-wave (late diastole). Initially after IABP insertion, the balloon is set to inflate with every cardiac cycle (1:1). Then, as the patient’s hemodynamic status improves, the IABP can be weaned by setting the balloon to inflate every other cardiac cycle (1:2) or every third cardiac cycle (1:3). However, less-frequent balloon inflation promotes stasis and thrombus formation, and these settings are only used temporarily minutes to hours before IABP removal. In patients with dysrhythmias not amenable to reliable electrocardiogram synchronization, arterial blood pressure triggering can be used. In this setting, diastolic inflation is initiated at aortic valve closure (dicrotic notch) and deflation during systolic upstroke. Irrespective of triggering modality, IABPs deliver hemodynamic support from the proximal descending aorta by inflating during diastole and deflating during systole. This mechanism is known as diastolic counterpulsation.

**Device use.** The 2 direct physiologic effects of the IABP are increased coronary perfusion pressure (CPP) and decreased left ventricular afterload. CPP is defined as the pressure gradient between the coronary sinuses in the aortic root and the left ventricle at end diastole and is an important determinant of coronary blood flow. During normal diastole, the aortic valve closes, and arterial blood pressure is maintained by aortic elastic recoil. In patients supported with IABPs, diastolic inflation of the device displaces blood toward the aortic root, thereby increasing diastolic blood pressure and CPP. IABP-mediated increases in CPP are thought to drive increased coronary blood flow and myocardial oxygen supply in the failing heart. During ventricular systole, IABP deflation creates a suction-like effect that reduces left ventricular afterload. This afterload reduction leads to decreased wall stress and lowered myocardial oxygen demand. Taken together, IABP counterpulsation indirectly improves cardiac output by augmenting coronary flow, reducing left ventricular afterload, and lowering myocardial oxygen demand. A schematic representation of unassisted and IABP-assisted aortic pressure changes is shown in Figure 3.

**Impella (CP/5.5/RV). Device detail.** The components of an Impella include an intravascular pigtail catheter with a microaxial flow pump composed of an inflow area, cannula, outflow area, and motor unit; plastic suture hubs; a protective sheath; tubing; and an external control console (Figure 4, A). In addition to radiopaque markers, the Impella also contains pressure transducers to determine appropriate positioning. The Impella device is positioned in the heart such that the pigtail tip and pump inflow area are within the left ventricular cavity (Figure 4, B). The cannula sits across the aortic valve, and the outflow area and motor unit are within the aortic root lumen. Although most Impella family devices were designed with the intention of supporting left ventricular function, the Impella RP...
is a more recent device specifically configured to support the failing right ventricle. For this device, the pump inflow is positioned in the inferior vena cava, the catheter passes into the heart and traverses the tricuspid and pulmonary valves, and the outflow area and motor unit are within the main pulmonary artery lumen. There are multiple versions of the Impella device and each provide a different level of maximal flow support (e.g., Impella 2.5 = 2.5 L/min, Impella CP = 4.0 L/min, Impella R = 4.0 L/min, Impella 5.0 = 5.0 L/min).

Device use. The Impella serves as a pump that supplements antegrade flow across the aortic valve and into the aorta. Impaired left ventricular contractility decreases cardiac output and promotes dilation of the affected chamber. The Impella directly increases net cardiac output and simultaneously reduces the volume load and myocardial oxygen demand of the left ventricle. These hemodynamic changes significantly enhance cardiac performance and end-organ perfusion. It is important to note that an Impella device can be used as a left ventricle vent for patients on venoarterial extracorporeal membrane oxygenation. These devices use a fluid buffer that is run through the device and into the patient. This buffer serves as a physical barrier between the surface of the device and the blood. This fluid is typically heparin, but institutions are trialing bicarbonate. In either case, the device calculates the volume given to the patient, which is necessary for proper patient management.

Device deployment. Both IABP and Impella devices are traditionally inserted into the common femoral artery either percutaneous or surgical cut down techniques. In patients with unsuitable femoral anatomy, axillary or subclavian arteries may serve as alternative access sites. As previously mentioned, the IABP is positioned in the proximal descending aorta. Confirmation of IABP position can be evaluated by chest radiography (balloon tip 2-3 cm below the aortic knob) or fluoroscopy (Figure 2, B). For the Impella, the pump inflow area should be positioned \(\sim 3.5 \text{ cm} \) below the aortic valve annulus (Figure 4, B). The Impella should be placed such that it passes through the center of the aortic valve leaflets and sits in the middle of the left ventricular lumen. Fluoroscopy and transesophageal echocardiography are used to guide proper device placement.

Indications and complications. Given the numerous etiologies of cardiogenic shock and the diverse ways in which temporary mechanical circulatory support devices are used in clinical practice, the indications for IABP and Impella use have grown over time. A list of the indications and contraindications for these devices is shown in Table 2.
The most common complications for IABPs and Impellas include vascular access-site bleeding, any vascular bleeding, thromboembolic events causing limb ischemia, and device migration.\textsuperscript{40-42} Although the risk of bleeding complications is greatest during device insertion and removal, these events can occur at any time during the support period. The risk of bleeding is exacerbated by the fact that patients who receive IABPs are often on therapeutic anticoagulation and may develop device-related thrombocytopenia.\textsuperscript{43} Similarly, patients supported by Impellas require anticoagulation and commonly experience device-related hemolysis.\textsuperscript{44} Therefore, it is critical that the access site is continually evaluated for the presence of active bleeding, hematoma formation, pseudoaneurysm development, or ecchymosis in addition to ordering daily complete blood counts. With regard to thromboembolic complications, judicious assessment of distal pulses before and throughout the duration of device use is essential. To ensure appropriate device position, daily monitoring of IABP position using chest radiography should be performed. The positioning of Impella devices can be determined by evaluating signal waveforms on the controller unit. Suspected migration of the Impella should be evaluated by fluoroscopy or echocardiography. Finally, a rare but severe complication of IABP devices is balloon rupture (<2% of patients). This manifests as blood within the balloon catheter and should prompt a helium leak alarm from the controller console. When this occurs, the IABP should be immediately stopped and promptly removed to avoid device thrombosis.

Long Term

Ventricular assist devices. VADs supplement the cardiac output of either the left or right ventricle. A left ventricular assist device (LVAD) flows blood from the left ventricle and flows it directly into the ascending aorta. A right ventricular assist device (RVAD) flows blood from the right atrium, or rarely the right ventricle, into the pulmonary arteries. RVADs are most commonly used in conjunction with an LVAD, commonly termed a biventricular assist device. As these are the most durable MCS devices, they can be used in bridge to transplant, destination therapy, bridge to decision, and bridge to recovery situations. LVADs represent the majority of VADs used.

Device detail. The current generation of LVADs use a centrifugal pump design, as it has shown superior outcomes compared to an axil-flow device, with a reduction in pump thrombosis being a major improvement.\textsuperscript{45} An important consideration of centrifugal pumps is that they are preload and afterload sensitive since they do not directly move a volume of blood but establish a pressure gradient. The LVAD has a low profile with a metal cannula used for LV insertion. The outflow of the LVAD is connected to Dacron tubing, which is anastomosed with the ascending aorta. Connected to the LVAD is a powerline that is tunneled through the skin to outside of the body. The most used model currently is the HeartMate 3 (Abbott).

RVADs have a different design owning to their differences in deployment. Because they are not directly fixed to the ventricle, the motor is typically outside of the patient, with tunneled or intravascular lines connecting to the right
atrium and the pulmonary artery; these motors are still centrifugal. Some examples of an RVAD are ProtekDuo (TandemLife/LivaNova), TandemHeart (Cardiac Assist Inc), and CentriMag (Abbott).

Device use. The decision to use VAD devices is often a challenging one, necessitating a multidisciplinary team to evaluate each patient; indications and contraindications are presented in Table 3. This is particularly important as recent United Network for Organ Sharing allocation policy has decreased priority for patients with a VAD. In general, LVADs are used in the failing left ventricle when maximum medical therapy is unable to abate symptoms. Typically, the Interagency Registry for Mechanically Assisted Circulatory Support profile is used to help stratify patients and direct device allocation. Key considerations involve the current RV function (when considering a LVAD), presence of valvular disease, and psychosocial evaluation, as these devices require routine and vital maintenance by the patient. These considerations are also valid for those being evaluated for a RVAD. The most common reason for RVAD use is when right ventricular dysfunction occurs following LVAD implantation. Other indications are with acute right ventricular dysfunction refractory to medical management.

The goal of implantation of an LVAD is proper positioning of the inflow canula at the apex of the left ventricle. Improper positioning can decrease the preload to the device and cause suck-down events, where the canula makes contact with the left ventricular cavity or interventricular septum. An anchoring ring is first sutured onto the left ventricular apex. This serves as a fixation point of the inflow canula, allowing for better positioning and fixation of the entire device. Once the motor and inflow tract are secured to the anchoring ring, the outflow graft is sutured to the aorta. The typical placement is 2 cm from the sinotubular junction with the graft beveled between 45° and 60°. This facilitates a good lay of the graft, reducing redundancy and angulation in the graft. For brevity, and the nuances with each RVAD, the implantation techniques have been omitted and readers are directed to the following articles.

### Outcomes

The outcomes of patients with LVADs cannot be summarized by a single metric, as their indication for LVAD implant significantly changes their trajectory (eg, destination therapy vs bridge to recovery). Further complicating matters, institutional preference can differ on the categorization of patients and their indication for LVAD implantation. Unsurprisingly, the best results are found in patients who are bridge to recovery, showing LV function comparable with healthy control patients. The percentage of patients who reach this milestone ranges from 5% to 24%, likely owing to differences in institutional protocols. For patients bridging to transplant, 1-year survival has been reported at 84%. In destination therapy patients, the 2-year survival has been steadily improving and is now 60%. Bi-ventricular assist device placement (LVAD plus RVAD) has shown worse outcomes compared with LVAD alone, with a short-term mortality as high as 45%. For isolated RVAD implantation, 30-day mortality has been reported at 50%. However, it must be noted that this represents a small proportion of RVAD use. With technological improvements, better patient selection, and improvements of concomitant medical therapy, VAD outcomes have seen substantial improvement and likely will continue to do so.

### Complications

The most catastrophic complication of LVAD use is the development of right ventricular failure following implantation. Recent estimates place the incidence of this complication at 13%, with patients requiring inotropic support or RVAD implantation. Given the severity of this complication, efforts have focused on preoperative stratification of patients right ventricular functioning and likelihood of developing right ventricular failure. In general, right ventricular metrics indicating good functioning (eg, cavity size, interventricular septum movement, and tricuspid annular plane systolic excursion) have been shown to be protective.

Following right ventricular dysfunction, thromboembolic events are another potentially devastating complication.
particularly if they result in a stroke. Due to the blood contacting surface of VADs, clotting and embolization can occur despite anticoagulation. Recent data place the incidence of stroke as low as 12% and as high as approximately 30%.63,64 Pump thrombosis can serve as a nidus for strokes and has a reported incidence between 8.1% and 11%.65,66 Management includes increased anticoagulation, facilitating fibrinolysis, up to a complete pump exchange. Overall, these complications have not seen much progress despite improvements in VAD technology and patient selection, illustrating the fundamental issue with blood contacting surfaces.

On the other end of the spectrum, bleeding is also a complication of VAD use. Although anticoagulation predisposes patients to bleeding, the cause of bleeding in VAD patients is more complex. Bleeding typically occurs in the gastrointestinal system and affects up to 30% of patients.67 In addition to the anticoagulation, hemostasis is aggravated by a Heyde-like syndrome, due to the continuous flow of current generation VADs, and impaired platelet aggregation.68,69

All current-generation VADs are powered with an external energy source. As such, a permanent break in the skin occurs from the tunneled drive lines; this results in a continual infection risk. The estimated prevalence of drive-line infections is 20% and is responsible for 8.1% of deaths at 3 months.70,71 Preventative measure are key are reducing infection, including correct bandage changes and patient education. Unfortunately, transplantation is the only effective solution, with other methods prolonging survival without cessation of the infection.

Lastly, the development of aortic insufficiency (AI) can be challenging in this patient population. When AI develops after LVAD implantation, the actual systemic cardiac output of the LVAD can be compromised, increasing mortality—this is due to a circular flow pattern retrograde into the lower pressured LV.72 LVADs can both cause de novo AI or worsen preexisting AI. When stratified based on preoperative AI severity, mild AI had the highest development of significant AI (36.4%), with de novo severe AI occurring in 10% of patients.73 The treatment of AI, both pre- and post-LVAD is inconsistent across institutions. Preexisting AI can be treated by suturing the valve closed or replacing it at time of LVAD implant.74 New AI can similarly be treated surgically or with endovascular valve replacement or with closure devices.75

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